



# Provider Neutral Records: An Overview

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F6: Taking the Aggravation Out of Aggregators: An Update  
on Aggregator-Neutral Bibliographic Records

Gene Dickerson [dickerson@state.gov](mailto:dickerson@state.gov)

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# Outline of Topics

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- What is a provider neutral record?
- What is the history of this concept?
- How has the provider neutral record been implemented?
- How do I use the provider neutral record locally?



# What is a provider neutral record?

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A bibliographic record separate from the print record that covers all versions of the same online resource on a single record.

Not the same as the single record approach for print and online version.



# Why do we need it?

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- Eliminate proliferation of records describing essentially the same content.
- Simplify record selection for copy cataloging.
- Reduce the need for maintenance of bibliographic records, especially for serials.



# History of the Provider Neutral Record: Serials

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- First proposed by CONSER in May 2002 as the Aggregator-Neutral Record
- Serials catalogers needed a way to deal with the same serial contents provider by multiple providers (i.e. aggregators), such as the publisher, Hein Online, EbscoHOST, OCLC FirstSearch, etc.



# History of the Provider Neutral Record: Serials

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- Separate records for each were confusing and difficult to maintain.
- Proposal was discussed at the CONSER Operations Committee in May 2002 and more widely at the CONSER-At-Large Meeting during ALA in June 2002
- CONSER issued a survey on the proposal in August 2002



# History of the Provider Neutral Records: Serials

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- CONSER agreed on implementation May 2003
- Implemented by CONSER July 1, 2003
- For more details on the history of the CONSER Aggregator Neutral record:

<http://www.loc.gov/acq/conser/agg-neutral-recs.html>



# History of the Provider Neutral Record: Serials

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- OCLC deleted “duplicate” records from WorldCat, July-December 2003.
- CONSER catalogers continue to report “non-neutral” records for deletion when found.



# History of the Provider Neutral Record: Monographs

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- Topic of provider neutral record for online monographs discussed at BIBCO Operations Meeting May 2008
- Committee formed to draft a proposal for a provider neutral record for online monographs: Summer 2008



# History of the Provider Neutral Record: Monographs

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- Provider neutral record final report issued for comment April 25, 2009
- Proposal discussed at the BIBCO Operations Meeting May 1, 2009
- Revised report issued May 30, 2009



# History of the Provider Neutral Record: Monographs

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- Implementation of provider neutral record for monographs by PCC August 1, 2009
- For more information on the history:  
<http://www.loc.gov/catdir/pcc/bibco/bibco.html>



# Description and Data Elements in a Provider Neutral Record

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- Electronic resources described are not considered to be reproductions. AACR2 rules for reproductions not applied (i.e. no 533 or 534 fields in MARC 21, except Digital Library Federation (DLF) records).
- Bibliographic description based on the “original” as presented by the original content creator, usually the publisher, when available.



# Description and Data Elements in a Provider Neutral Record

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- If no access via publisher, describe based on available access (e.g. Hein Online, NetLibrary, eBrary)



# Data Elements in a Provider Neutral Record

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- 1XX main entry as applicable to the original
- Title proper (field 245) based on original.
- Variant titles added as needed
- If variant title is specific to a provider:  
246 1 \$i Some providers have title: \$a  
Variant title



# Data Elements in a Provider Neutral Record

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- Edition statement based on original, if applicable
- Imprint information based on original, not that of the provider



# Data Elements of a Provider Neutral Record

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- Collation (field 300) based on original (not required for for serials)
- Published run (i.e. 362 field) for serials based on original (i.e. print) version



# Data elements in a Provider Neutral Record

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- Series (field 490) is based on original and 8XX fields used if series is traced
- [440 field is obsolete]



# Data Elements in a Provider Neutral Record

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- Description based on note used for serials
- Title source note (1<sup>st</sup> note in record for monographs; combined with DBO note for serials)
- Source viewed and viewed on date (combined with DBO note and title source for serials)



# Data Elements in a Provider Neutral Record

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- Latest issue consulted note for serials
- Include source viewed in Latest issue consulted note if different from source viewed for Description based on.

500 Description based on: Volume 1, Number 1 (January 1975); title from caption (Hein Online, viewed June 1, 2007).



# Data Elements in a Provider Neutral Record

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- 500 Latest issue consulted: Volume 25, Number 1 (January 2009) (publisher Web site, viewed July 1, 2009).  
[Publisher Web site has current issues, but earlier issues are available via Hein Online.]



# Data Elements in a Provider Neutral Record

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- 7XX added entries that are applicable to all instances of the resource  
(i.e. not for the names of providers, such as ProQuest, OCLC FirstSearch, Hein Online, etc.)



# Data Elements in a Provider Neutral Record

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- 856 field for URL for online access. 856 field is repeatable to record URL for access by multiple providers
- Do not add institution specific URLs to master record
- Do not create a new record if master record doesn't have matching URL. Add another 856 to master record, if authorized.
- Do not use \$z note in master record.



# Data Elements in a Provider Neutral Record

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- Call numbers: Use of classification numbers encouraged but not required
- Call numbers: Add classification number and item specific cutter number, if desired
- Subject headings can be added to 6XX fields (e.g. LCSH, MeSH)



# Data Elements in a Provider Neutral Record

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- ISSN for serials: Record ISSN for online version in 022 \$a. If ISSN associated for serial is incorrect, use 022 \$y (e.g. print ISSN appears on online resource)
- ISSN for series: transcribe ISSN for online version of series in 490 \$x (8XX \$x for ISSN when implemented)



# Data Elements in a Provider Neutral Record

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- ISBN for monographs: If cataloger can determine the ISBN is valid for the online version, record in 020 \$a
- ISBN for print version should be recorded in 776 \$z
- If cataloger cannot determine whether ISBN is for print or online, record the ISBN as found in 020 \$z



# Data Elements in a Provider Neutral Record

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- Use the Insert from Cited Record feature in OCLC Connexion when creating 776 linking fields or 78X links for serials

Type OCLC control number of targeted record to link to in 776 \$a or 78X \$a

On Menu Bar, select Edit, then Insert from Cited Record (OCLC Connexion client) or via dropdown (OCLC Connexion Browser)



# Data Elements in a Provider Neutral Record

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- Data elements NOT to include in the master record:
  - Qualifiers for the name of the provider in 130 uniform title main entry
  - 7XX added entries for the name of the provider
  - 8XX series added entries for the name of the provider



# Data Elements in a Provider Neutral Record

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- Include names of the provider in 7XX fields **locally** in the bibliographic record, if desired.
- Most of the names of the providers would be corporate names, so check the name authority file for an established form of the name that you can use locally.



# Copy Cataloging and the Provider Neutral Record

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- If there are multiple records, select the provider neutral record, if present.
- If no provider neutral record exists, use the record that matches best. Do not add a new record.



# Copy Cataloging and the Provider Neutral Record

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- Edit the record as you would under local copy cataloging practices.
- Delete any URLs locally for which you don't provide access.



# Copy Cataloging and the Provider Neutral Record

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- Add the URL in the 856 field by which you have access if not in the master record.
- If the URL is not in the master record and is applicable universally (i.e. it's not a institution specific URL), add the 856 field to the master record, if authorized to do so.



# Copy Cataloging and the Provider Neutral Record

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- Add local 7XX fields, if desired.
- Report duplicate records to OCLC.



# Copy Cataloging and the Provider Neutral Record

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- For serials, provider neutral record will be maintained as needed:
- Frequency changes
- Imprint changes
- Variant titles for minor changes in title not requiring a new record



# Copy Cataloging and the Provider Neutral Record

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- Changes in issuing body for the original serial: 550 note and 7XX added entry or entries
- ISSN (e.g. authenticated ISSN assigned for online version)
- Changes in series in which the serial is issued



# Copy Cataloging and the Provider Neutral Record

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- Changes that affect serial bibliographic data can be done locally if you're not authorized to change the OCLC master record.



# Summary

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- Program for Cooperative Cataloging (PCC) has developed provider neutral record guidelines for serials (CONSER) and for monographs (BIBCO)
- Provider neutral records reduce confusion in OCLC WorldCat and provide options for adding additional information locally.



# Summary

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- Use existing bibliographic records in OCLC WorldCat rather than adding a new record when you have access through a different provider.
- Update OCLC provider neutral master records, if authorized.



# Summary

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- When creating an original bibliographic record, prefer the publisher's version for description, but use the version for which you have access, if not via the publisher.



# Summary

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- Consult BIBCO documentation (monographs)

<http://www.loc.gov/catdir/pcc/bibco/PN-Guide.pdf>

Or CONSER documentation

<http://www.loc.gov/acq/conser/pdf/Module31.pdf>

(Aggregator Neutral guidelines)



# Summary

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- CONSER Standard Record guidelines  
Catalogers Cheat Sheet

<http://www.loc.gov/acq/conser/pdf/CheatSheetforCSR.pdf>

Documentation and LCRIs

<http://www.loc.gov/catdir/cpso/conser.html>



# Questions?

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Thank you!!!!!!